

APPLICATION FOR REGISTRATION OF TRADERS FOR MEMBERS ON SPOTLIGHT STOCK MARKET

The Member

Corporate registration on.

The Member is a member of, or has applied for, membership at Spotlight Stock Market (the "**Marketplace**"). For the Member to be approved for trading in the Marketplace's trading system, the Member must have at least two traders who are registered and approved by the Marketplace. The traders must be employed by the Member.

The Member shall procure, and is responsible for, that the traders who are registered comply with the requirements set out in the Marketplace's Member Rules, applicable from time to time. The Member hereby applies for registration of the following person(s) (the "**Trader(s)**").

| Name | Social security no/ Personal ID no | E-mail | Phone |
|------|---------------------------------------|--------|-------|
|------|---------------------------------------|--------|-------|

Place and date

Member (company name)

Signature*

**Head of trading or other person authorized to sign application*

Clarification of name

Certification

It is hereby certified that the Trader(s) meet the requirements for traders at the Marketplace in accordance with the Marketplace's Member Rules, that the Trader(s) are suitable to act as (a) trader(s) and have good knowledge of both the Marketplaces's Member Rules and the regulations applicable to the securities market in the form of statutes, regulations and other enactments, rules (including self-regulation) and generally accepted practices.

Place and date

Place and date

Signature*

Signature*

**Compliance officer*

**Head of trading*

Clarification of name

Clarification of name